



Express Mail No.: EL 779 728 769 US  
Attorney Docket No.: 11840.00  
(previously 40244.0001-US-01)

1761

#6  
4/25/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Inventor: KEETON et al.

Appl. No.: 10/006,102

Group Art Unit: 1761

Filed: December 3, 2001

Examiner: Not Yet Assigned

For: PROBIOTIC FORMULATION AND METHOD FOR REDUCTION OF  
PATHOGENIC BACTERIA

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	Date of Deposit: April 11, 2003
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	Name: <u>Kristi Murray</u> /DORSEY & WHITNEY LLP Signature: <u>Kristi Murray</u>

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INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. § 1.97(b)

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Sir:

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In accordance with 37 CFR 1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

The references cited on the attached PTO-1449 were cited in an International Search Report dated 12 March 2003, of corresponding PCT Patent Application No. PCT/US02/38714. A copy of the International Search Report is enclosed herewith.



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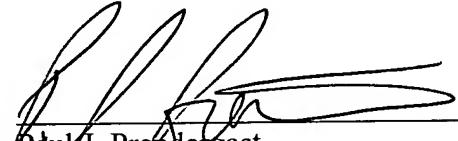
Statement Under 37 CFR 1.97(e)

The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. The relevance of the cited references is included in the International Search Report.

Pursuant to 37 C.F.R. § 1.97, no fees are due with respect to this filing. However, if any fees are deemed necessary, such fees may be charged to Deposit Account No. 04-1415

Dated: 4/11/03.

Respectfully submitted,

  
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PJP/CAF  
Enclosures (3)



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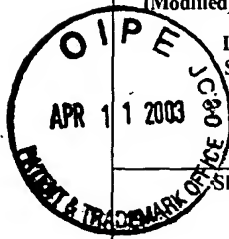
The undersigned hereby certifies that the attached **INFORMATION DISCLOSURE STATEMENT UNDER 1.97(b), FORM PTO-1449 AND COPIES OF CITED REFERENCES, COPY OF INTERNATIONAL SEARCH REPORT; RETURN POSTCARD, AND THIS CERTIFICATE OF MAILING BY EXPRESS MAIL**, relating to the above application, were deposited as "Express Mail," Mailing Label No. EL 779 728 769 US, with the United States Postal Service, addressed to Commissioner for Patents, Washington, D.C. 20231, on April 11, 2003.

  
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Substitute for form 1449A/PTO U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: 11840.00 (previously 40244.0001-US-01)	APPLICATION NO.: 10/006,102
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)		FIRST NAMED INVENTOR: KEETON et al.	
FILING DATE: December 3, 2001		ART UNIT: 1761	
EXAMINER NAME: Not Yet Assigned			
Sheet	1	of	1

#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
	A	US-5,698,246 A	12/16/1997	VILLAMAR	
	B	US-2001/0033838 A1	10/25/2001	FARMER	
	C	US-2003/0003107 A1	01/02/2003	FARMER	
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#### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

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#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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EXAMINER INITIALS*	Cite No. <sup>2</sup>	(Include name of Author ) in CAPITAL LETTERS), Title of article (when appropriate, title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher city and/or country where published)	T <sup>3</sup>
EXAMINER SIGNATURE		DATE CONSIDERED	

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<sup>1</sup> Applicant's unique citation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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